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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/896,565
Filing Date	June 29, 2001
First Named Inventor	Yoshiyuki Seki
Group Art Unit	3677
Examiner Name	Thomas Y. HO
Attorney Docket Number	W1043.01-US-01

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

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## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. \_\_\_\_\_
- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e) 04/03/2003 CNGUYEN 00000034 09896565
- ii. ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17) 01 FC:1801 750.00 OP
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 834.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print /Type)	Julie A. Zavoral	Registration No. (Attorney/Agent)	43,304
Signature	<i>Julie Zavoral</i>	Date	March 31, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on: Via Express Mail EV250191215US

Name (Print/Type)	Dale Bunker	Date	March 31, 2003
Signature	<i>Dale Bunker</i>		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Yoshiyuki Seki et al.

Group Art Unit 3677

Serial No.: 09/896,565

Examiner: Thomas Y. Ho

Filed: June 29, 2001

**Docket No. W1043.01-US-01**  
[Formerly: 2019.004]

For: [Box Lock that is Releasable from Inside and Outside of Box]  
Box Lock With Safety Device (As Amended)

Customer No. 35110 [Formerly: 023907]

Confirmation No. 7968

AMENDMENT

Box RCE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

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Sir:

In response to the Office Action of December 2, 2002, and in accordance with the automatic extension of time for response provided by 37 C.F.R. § 1.136(a), amendment to the above-identified patent application is requested.

IN THE CLAIMS

Please amend Claims 1, 4, 7, 10, and 13 as indicated below. The remaining claims are not being amended at this time, but are included here such that a full set of all pending claims is presented.

1. (Twice Amended) A lock for a lid that opens and closes a box, wherein one of the box and the lid is a first part and the other is a second part, the lock comprising:
- a latch provided on the first part, wherein the latch engages a catch, which is on the second part, to prevent the lid from opening when the lid is closed;
  - a holding member, which moves between a locking position and an unlocking

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